

Cover page

Statute Amending the Doctoral Degree Regulations of the Faculty of Science of Paderborn University as of

Please Note: English translations of the "Doctoral Degree Regulations" and its statute amendings are intended solely as a convenience to non-German-reading members of the University. Only the German text of these regulations, as published in the official announcements of Paderborn University ("Amtliche Bekanntmachungen"), its structure, meaning, and interpretation is legally binding.

On the basis of section 2 subsection 4 and section 67 subsection 3 sentence 3 of the higher education act of the state of North Rhine-Westphalia (Hochschulgesetz, HG) of 16 September 2014 (Law and Official Gazette of the State of North Rhine-Westphalia, Gesetz- und Verordnungsblatt für das Land Nordrhein-Westfalen, GV. NRW. p. 547), last amended by article 2 of the act of 19 December 2024 (GV. NRW, p. 1222), Paderborn University has issued the following amending statute:

Article 1

The doctoral degree regulations of the Faculty of Science from 31 March 2021 (AM.Uni.Pb. 10.21) are amended as follows:

1. In the table of contents, the heading of section 20 is amended as follows: "Section 20 Publication of the Dissertation"

2. Section 1 subsection 1 is amended as follows
 - a) Sentence 3 is replaced by the following:
"The Doctoral Examinations Board of the responsible department reaches this decision on the basis of a justified short request from the doctoral candidate, which must be submitted along with the application for admission to the doctoral examination procedure."
 - b) The following sentence 4 is added:
"The short application must be accompanied by an abstract and a statement by the supervisor concerning the focal point of the dissertation."

3. Section 5 subsection 4 sentence 3 is replaced by the following:
"If no equivalence agreement exists, the applicant has to present a statement of the Central Office for Foreign Education (Zentralstelle für ausländisches Bildungswesen)."

4. In section 13 subsection 1 the phrase in parentheses "(generally, from the Faculty of Science; the responsible Doctoral Examinations Board decides on exceptions)" is inserted after the words "or an academic staff member holding a doctoral degree".

5. Section 20 is amended as follows:
 - a) The heading is replaced by the following:
"Publication of the Dissertation"
 - b) Subsection 1 is replaced by the following:
"The doctoral candidate must make the doctoral dissertation accessible to the academic public in an appropriate manner in a version accepted by the Doctoral Committee as part of their doctoral degree requirement. The doctoral dissertation is deemed to be accessible to the academic public in an appropriate manner if, in addition to the copy required for the Faculty's examination files, which must be printed on age-resistant, wood-free and acid-free paper, and permanently bound, the doctoral candidate provides the University Library with the mandatory/sample copies specified under a) to d) at no charge, and ensures distribution via one of the publication alternatives listed below:
 - a) Publication in electronic form via the publication service of the University Library (Open Access publication). For this purpose, the electronic version must be submitted to the University Library in a data format that complies with the requirements of the University Library including an abstract in German and in English (with a maximum of 1,500 characters each) and other bibliographic metadata. Additionally, a printed sample copy in the same format as the copy for the examination file must be provided to the University Library.
 - b) Publication in electronic form by a commercial publisher as an Open Access publication under a generally valid licence (CC licence), which allows for a second publication via the publication service of the University Library, as well as the indication of the publication as a dissertation by specifying the location of the dissertation and a persistent identifier (DOI) on the back of the title page. A printed sample copy must be submitted to the University Library in the same format (version) as the copy for the examination file. Upon delivery of the printed

sample copy, the University Library must be informed of the publishing contract and the metadata of the Open Access publication.

c) Distribution of printed copies in bookshops by a commercial publisher with a minimum circulation of 100 copies (and indication of the publication as a dissertation by specifying the location of the dissertation on the back of the title page). Two printed sample copies must be submitted to the University Library.

d) Distribution as parallel publication in both printed and electronic form in the "Book on Demand" procedure (and indication of the publication as a dissertation by specifying the location of the dissertation on the back of the title page). Two printed sample copies must be submitted to the University Library.

In the case of options a) and b), the doctoral candidate confers the University the non-exclusive but irrevocable right to produce and distribute copies of their dissertation, or to make it available on data networks (e.g., the Internet), including conversion into another data format, if necessary.

The German National Library and all other publicly accessible libraries in Germany and abroad are authorised to store the dissertation on their servers and make it available for use."

6. Section 21 is amended as follows:

- a) Subsection 1 sentence 1 is replaced by the following:
"If the doctoral dissertation has been accepted, the oral examination has been passed, and the candidate has provided proof that they have submitted the mandatory copies and published their work pursuant to section 20, the chairperson of the Doctoral Examinations Board confirms the conclusion of the examination procedure and arranges for a doctoral certificate to be issued."
- b) Subsection 3 is replaced by the following:
"The Dean presents the certificate to the doctoral candidate. The award of the doctoral certificate represents the completion of the doctorate and entitles the candidate to use the academic title of doctor."
- c) Subsection 4 is replaced by the following:
"The conclusion of the procedure is announced to the university public."
- d) Subsection 5 is deleted.

Article II

- (1) This amendment statute will enter into force on the day after its publication in the Official Announcements (Amtliche Mitteilungen) of Paderborn University (AM.Uni.Pb.).
- (2) According to section 12 subsection 5 HG, violations of the procedural or formal regulations of the higher education act, or regulatory or other legal provisions of Paderborn University may no longer be asserted against this amendment statute, unless
 - 1. this amendment statute has not been properly announced,
 - 2. the Executive Board has previously objected to the resolution by the body adopting this amendment statute,
 - 3. the University has previously been notified of the formal or procedural deficiency, indicating the legal provision that has been violated and the facts leading to the deficiency, or
 - 4. no reference was made to the legal consequences of the exclusion of objections when the amendment statute was publicly announced.

Issued on the basis of the resolution of the Faculty Board of the Faculty of Science from 19 February 2025 and the legal verification by the Executive Board of 12 March 2025.

Paderborn, dd Month 2025 The President of Paderborn University

Professor Dr. Birgit Riegraf